
21.30L. SERIES.

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Series Added Entry Guidelines (For PCC libraries only – the Library of Congress will no longer provide controlled series access)

LC practice:

LC has announced a change in policy applying to all bibliographic resources (monographs, serials, and integrating resources) in series. As of June 1, 2006, LC analyzes and classifies separately all parts of monographic series and of multipart monographs with the

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exception of those categories listed in LCRI 13.3. As of the same date, LC does not give “controlled” access points for series in new LC original cataloging (CIP and non-CIP) bibliographic records, does not update series access points in existing bibliographic records, and does not consult, make, or update series authority records. LC will “pass through” the series information already in bibliographic records used by LC as copy (CIP/LC partner records, PCC records, and non-PCC (including Casalini) records).

PCC practice:

The PCC Policy Committee has announced that the PCC series policy remains unchanged. The remainder of this LCRI applies to PCC libraries..

All the material in the remainder of this LCRI assumes the series decision recorded on the series authority record is to "trace" the series.

Unless a specific category is mentioned, the term "series" in this LCRI means any of these comprehensive items: monographic series, other serial, multipart item, integrating resource.

See AACR2 rules for the series area (1.6 ff.) and related LCRIs for information about series statements.

Option Decision

Apply the optional provision for adding the numeric, etc., designation of the series in the series added entry. Give it in the form established in the series authority record.

Form of Series Added Entries

Although a series statement may include a parallel title (1.6C), other title information (1.6D), a statement of responsibility (1.6E), or an ISSN (1.6F), the heading for a series consists only of one of the following: a title proper, a uniform title heading, a name heading/title proper, or a name heading/uniform title.

There are two ways to accommodate series added entries in the MARC record:

- 1) derive a series added entry from the series statement: 440 field (Series statement/Added entry--Title);
- 2) record a series added entry in a field defined for that purpose: 8XX (Series added entries).

Explicitly provide a series added entry (tag the 4XX field as 490 1 and add an 8XX field) if there is any difference between the series statement and the numbering (if the series is numbered) and the established form of the series heading and form of numbering on the series

authority record.

Multipart Item in a Series

If the parts of a multipart item are separately numbered within a series, give the numbers in the series added entry as they are given in the series statement (cf. LCRI 1.6G2) and in the form established in the series authority record. It is no longer necessary to create an 8XX added entry solely because the numbering is not consecutive or the first number is not permanent; it is not necessary to change existing records that reflect the old practice until the record is closed.

Integrating Resource in One or More Series

Also provide an 8XX series added entry for any traced series included in a note. (Series not present on the latest iteration will be given in the note area instead of in the series area — cf. rule 12.6B2 and 12.7B14.2b.)

existing record

260 ## \$a Chicago : \$b CJ Press, \$c 1983-
440 #0 \$a Real estate professional series

same record updated later

260 ## \$a Chicago : \$b CJ Press, \$c 1983-
no 4XX field
500 ## \$a Series title, 1983-1995: Real estate
professional series.
500 ## \$a Description based on: release 23, published
in Jan. 1996.
830 #0 \$a Real estate professional series.

existing record

260 ## \$a Denver : \$b Smith Pub. Co.
440 #0 \$a Research in library acquisitions
500 ## \$a Description based on: update 2, published in
1991.

same record updated later

260 ## \$a Denver : \$b Smith Pub. Co.
490 1# \$a Library acquisitions
500 ## \$a Series title <1991 >: Research in library
acquisitions.
500 ## \$a Description based on: update 9, published in
2000.
830 #0 \$a Research in library acquisitions.

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830 #0 \$a Library acquisitions (Denver, Colo.)

Republications

If a republication contains the original series statement (transcribed in parentheses in the bibliographic history note—cf. LCRI 2.7B7), provide an 8XX series added entry for the series. Do not give a series added entry if the original series statement is lacking on the item even if information about the series is recorded in the bibliographic history note.

Numbering Grammatically Integrated with Series Title

If the numbering, etc., of the series volume is grammatically integrated with the series title (cf. rule 1.6B1), explicitly make the series added entry so the numbering can be omitted from the title and recorded in subfield \$v of an 8XX field.

Iowa

490 1# \$a Publication number 22 of the Southwestern
History Society

830 #0 \$a Publication ... of the Southwestern Iowa
History Society ; \$v no. 22.

490 1# \$a The twenty-sixth L. Ray Buckendale lecture

830 #0 \$a L. Ray Buckendale lecture ; \$v 26th.

490 1# \$a 31. tom Biblioteki SIB

830 #0 \$a Biblioteka SIB ; \$v 31. t.

More Than One System of Numbering

If more than one system of numbering is transcribed in the series statement (cf. LCRI 1.6G), explicitly make the series added entry to record in subfield \$v the one system specified in the series authority record.

490 1# \$a _____ ; \$v Bd. 6, Nr. 2 = Nr. 32

830 #0 \$a _____ ; \$v Bd. 6, Nr. 2.

Numbering Errors

If the number has been corrected in the series statement (cf. rule 1.6G1), explicitly make the series added entry to give only the correct number.

490 1# \$a Kieler historische Studien ; \$v Bd. 24 [i.e.
25]

830 #0 \$a Kieler historische Studien ; \$v Bd. 25.

Number Preceded by One or More Letters

When the numbers of items in the same series are preceded by a letter or letters varying from item to item (cf. LCRI 1.6B and LCRI 1.6G), explicitly make the series added entry to omit the letter or letters from subfield \$v.

in sources of different items in series: D1, C2, SW3, F4, etc.

490 1 \$a _____ ; \$v D1
830 #0 \$a _____ ; \$v 1.

When the only information available is from the one item in hand, assume all items in that series will have the same letter(s) preceding the number until differing information is available. Record the letter(s) in subfield \$v.

Numbering for Publications of the U.S. Congress

Explicitly make a series added entry for publications of the U.S. Congress to give the numbering relating to the numbering of the Congress and Session as the first part of subfield \$v, followed by the number of the publication within that Congress and Session.

490 1# \$a Mis. doc / 49th Congress, 1st Session,
Senate
; \$v no. 82
830 #0 \$a Mis. doc (United States. Congress. Senate) ;
\$v 49th Congress, 1st session, no. 82.

Transcribe the information for series publications of other legislatures as above if the situations are the same.

Main Series and Indirectly Entered Subseries¹

1) *Main series is unnumbered*

Do not give a separate series added entry for the main series unless the main series has already appeared by itself on other publications. Instead, give a series added entry for the main series/subseries combination. Derive the series added entry or make it explicitly, depending upon whether the series statement and the numbering of the subseries (if the subseries is numbered) are in exactly the same form as the heading and form of numbering on the series authority record.

440 #0 \$a Progress in nuclear energy. \$n Series V, \$p
Metallurgy and fuels

¹[Recommended future placement: include in a new introductory Chapter 21 LCRI addressing number of series headings]

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490 1# \$a University publications series. The social sciences ; \$v no. 4
830 #0 \$a University publications series. \$p Social sciences ; \$v no. 4.
(made-up example)

Exception. If the main series appears on a later publication without any subseries, at that point establish the main series separately and make the series added entry separately for this and any other item showing only the main series. However, if later forms show both the main series and a subseries, use in the added entry only the form in which the subseries is already established.

2) Main series is *numbered*

LC practice. Give two series added entries: one for the main series and one for the main series/subseries combination.

Artes
490 1# \$a Biblioteca de arte hispánico ; \$v 8. \$a
aplicadas ; \$v 1
830 #0 \$a Biblioteca de arte hispánico ; \$v 8.
830 #0 \$a Biblioteca de arte hispánico. \$p Artes
aplicadas ; \$v 1.

3) Hierarchy of numbered and unnumbered main series/subseries

If a hierarchy of main series and multiple subseries is involved and only some are numbered, treat the unnumbered ones under 1) above and the numbered ones under 2) above.

Single Series Statement Encompassing More Than One Series

When a single series statement encompassing more than one series has been included in the bibliographic record either in the series area or as a quoted note, provide a series added entry for each traced series.

490 1 \$a Publicación núm. 3 del Centro de Estudios Bilbitanos y núm 750 de la Institución "Fernando el Católico"
830 #0 \$a Publicación ... del Centro de Estudios Bilbitanos ; \$v no. 3.
830 #0 \$a Publicación ... de la Institución "Fernando el Católico" ; \$v no. 750.
500 \$a "Ce volume fait également partie de ... Grandes publications tome XXIII, et de la collection des Cahiers de l'Association

- interuniversitaire de l'Est dont il
constitue le no 21."
23. 830 #0 \$a Collection "Grandes publications" ; \$v t.
- 830 #0 \$a Cahiers de l'Association interuniversitaire
de l'Est ; \$v 21.

One or Several Series Headings

1) *Language editions*

a) *Numbered series.* Distinguish between series that are issued in two or more parallel editions, i.e., complete editions in each language for which separate records and the use of uniform titles (cf. AACR2 25.3C3) are appropriate and those that are issued variously in two or more languages but for which no separate editions of the series exist in any language. If no separate editions exist, establish a single heading, basing it on the first item in the series; if the first item is not available, base the heading provisionally on the earliest item available. If the first/earliest item itself is issued in several languages, choose the language of the title proper of the series according to the provisions of AACR2 1.0A3. In case of doubt, assume that a single edition exists.

b) *Unnumbered series.* If the language of the title of the series varies, generally establish separate headings for each language form and connect the headings by simple see also references. Do not assign AACR2 25.3C uniform titles.

2) *Changes in numbering (addition, omission, etc.)*

a) *Single series.* Consider that a single series exists if

- (1) a numbered series has some random issues lacking numbering;
- (2) a series first issued as unnumbered later has numbers and the numbering system takes into account the previous unnumbered issues (e.g., the first ten issues were published without numbering; numbering starts with "volume 11").
- (3) a numbered series begins a new sequence of numbering either with or without wording such as "new series." (See 1.6G1 and its LCRI.)

b) *Multiple series.* Consider that multiple series exist if

- (1) an unnumbered series becomes numbered and the numbering system excludes the previous unnumbered issues;
- (2) a numbered series becomes unnumbered.

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c) In case of doubt, consider the series to be a single series until other differing information is available.

3) *Different physical media*

a) *Single series.* Consider that a single series exists if the physical medium varies within the series (not a change from only one medium to only a different medium).

b) *Successive entry of a single monographic series.* If there is a change from only one medium to only a different medium, create a successive entry heading for the monographic series published in the different medium (cf. LCRI 21.3B).

c) *Multiple series.* Consider that multiple series exist if all parts of the series are each published in two or more different physical media. If the headings for the series are the same, add a qualifier to break the conflict in the headings. If the headings are not the same, connect the headings by simple see also references.

d) In case of doubt, consider the series to be a single series until other differing information is available.